

Mail Stop Amendment
COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

Date: July 7, 2004

In re application of: **BAMBERGER**
Serial No.: 10/791,404
Filed: 03/02/2004
For: **IRIS DIAPHRAGM DEVICE**

Sir:

Transmitted herewith is a **Supplemental Information Disclosure Statement (2 pages) with PTO-1449 (1 page) and cited references** in the above-identified application.

- Small entity status under 37 C.F.R. 1.9 and 1.27 has been previously established.
- Applicants assert small entity status under 37 C.F.R. 1.9 and 1.27.
- No fee for additional claims is required.
- A filing fee for additional claims calculated as shown below, is required:

FOR:	(Col. 1)		(Col. 2)		SMALL ENTITY RATE	LARGE ENTITY RATE
	REMAINING AFTER AMENDMENT	HIGHEST PREVIOUSLY PAID FOR	PRESENT EXTRA	OR		
TOTAL CLAIMS	* Minus**	=	0		x \$ 9 \$	x \$ 18 \$
INDEP. CLAIMS	* Minus***	=	0		x \$ 40 \$	x \$ 80 \$
<u>1 FIRST PRESENTATION OF MULTIPLE DEP. CLAIM</u>					+ \$135 \$	+ \$270 \$
				TOTAL: \$	OR	TOTAL: \$

- * If the entry in Co. 1 is less than the entry in Col. 2, write "0" in Col. 3.
- ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
- *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

- Also transmitted herewith are:
 - Petition for extension under 37 C.F.R. 1.136
 - Other:
- Check(s) in the amount of \$ is/are attached to cover:
 - Filing fee for additional claims under 37 C.F.R. 1.16
 - Petition fee for extension under 37 C.F.R. 1.136
 - Other:
- The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0552.
 - Any filing fee under 37 C.F.R. 1.16 for the presentation of additional claims which are not paid by check submitted herewith.
 - Any patent application processing fees under 37 C.F.R. 1.17.
 - Any petition fees for extension under 37 C.F.R. 1.136 which are not paid by check submitted herewith, and it is hereby requested that this be a petition for an automatic extension of time under 37 CFR 1.136.

Erik R. Swanson, Reg. No. 40,833
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I hereby certify that the documents referred to as attached therein and/or fee are being deposited with the United States Postal Service as "first class mail" with sufficient postage in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on July 9, 2004.

DAVIDSON, DAVIDSON & KAPPEL, LLC

BY:
Oliver Platz



5005.1073

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re: Application of **Stefan BAMBERGER et al.**
Serial No.: **10/791,404** **Confirmation No.:** **2541**
Filed: **03/02/2004**
For: **IRIS DIAPHRAGM DEVICE**
Examiner: **(Not yet known)**
Art Unit: **2873**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

July 7, 2004

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

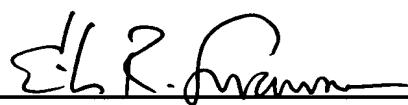
In accordance with the provisions of 37 C.F.R. § 1.97, Applicants hereby make of record the documents listed on the accompanying Form PTO-1449 (1 page) for consideration by the Examiner in connection with the examination of the above-identified patent application. While the references are being submitted herewith, some or all of the references may not constitute prior art under the U.S. patent laws.

This Information Disclosure Statement is filed under 37 C.F.R. §1.97 (b), before the mailing date of a First Office Action, therefore no fee is believed due. If any additional fees are deemed to be due at this time, the Assistant Commissioner is authorized to charge payment of the same to Deposit Account No. 50-0552.

5005.1073

It is respectfully requested that the references cited in the accompanying PTO-1449 form be considered and made of record. It is respectfully submitted that the pending claims are patentable over all of the references made of record at this time.

Respectfully Submitted,
DAVIDSON, DAVIDSON & KAPPEL, LLC

By: 
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FORM PTO-1449
(REV. 7-80)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.: 5005.1073

SERIAL NO.: 10/791,404

LIST OF PRIOR ART CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT(S): Stefan BAMBERGER et al.

FILING DATE: 03/02/2004

GROUP: 2873

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
	AA	3	8	3	6	2	3	4	Sept. 17, 1974	Farnsworth	350	266	
	AB	3	6	6	8	9	9	5	June 13, 1972	Bardutzky	95	64 R	
	AC												
	AD												
	AE												
	AF												
	AG												
	AH												
	AI												

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION		
													YES	NO	
	AJ	2	3	2	4	8	0	4	Dec. 6, 1973	DE-Germany				corresponds to U.S. 3,836,234	
	AK	1	8	1	5	3	4	9	June 25, 1970	DE-Germany				corresponds to U.S. 3,668,995	
	AL	2	1	6	3	0	7	3	Aug. 3, 1972	DE-Germany				Claim 1	
	AM	1	4	5	9	8	6		Nov. 19, 1903	DE-Germany				Claim 1	
	AN														

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	
AP	
AR	
AS	
AT	
AU	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.